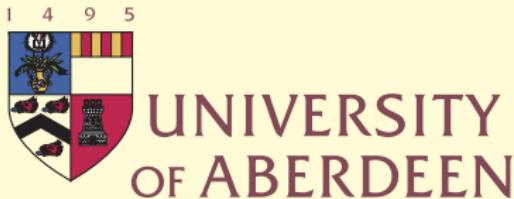


Coupled transport and negative temperature states

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Glasgow, 23-24 October 2014



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Outline

- DNLS as a reference model & and some known facts

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- Nonequilibrium phase-transitions (zero temperature)
- Nonequilibrium phase-transitions (beyond infinite temperature)

The model

$$\mathcal{H} = \sum_j V |\psi_j|^4 - Q \sum_j (\psi_j^* \psi_{j+1} + c.c.)$$

$$i \frac{d\psi_j}{dt} = 2V |\psi_j|^2 \psi_j - Q(\psi_{j-1} + \psi_{j+1})$$

PARAMETERS (can be scaled out):

$V > 0$: repulsive atomic interaction / self-focusing in waveguides

Q : hopping term [the sign is irrelevant - multiply ψ_j by $\exp(i\pi j)$]

TWO CONSERVATION LAWS

Energy: \mathcal{H} Mass: $A = \sum_j |\psi_j|^2$

h : energy density a : mass density

Operative definition of the relevant thermodynamic observables

$$\frac{1}{T} = \frac{\partial \mathcal{S}}{\partial H} \quad \quad \frac{\mu}{T} = -\frac{\partial \mathcal{S}}{\partial A}$$

$$\frac{\partial \mathcal{S}}{\partial C_1} = \left\langle \frac{W \|\vec{\xi}\|}{\vec{\nabla} C_1 \cdot \vec{\xi}} \vec{\nabla} \cdot \left(\frac{\vec{\xi}}{\|\vec{\xi}\| W} \right) \right\rangle$$

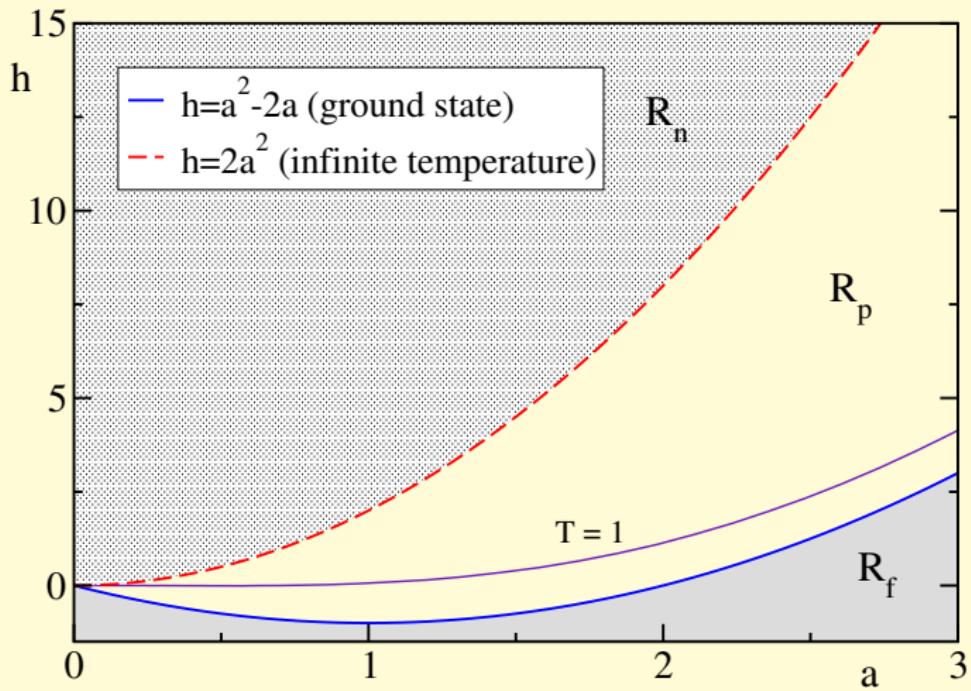
$$\vec{\xi} = \frac{\vec{\nabla} C_1}{\|\vec{\nabla} C_1\|} - \frac{(\vec{\nabla} C_1 \cdot \vec{\nabla} C_2) \vec{\nabla} C_2}{\|\vec{\nabla} C_1\| \|\vec{\nabla} C_2\|^2} ; \quad W^2 = \sum_{j < k}^{2N} \left[\frac{\partial C_1}{\partial x_j} \frac{\partial C_2}{\partial x_k} - \frac{\partial C_1}{\partial x_k} \frac{\partial C_2}{\partial x_j} \right]^2$$

$$C_{1,2} = H, A$$

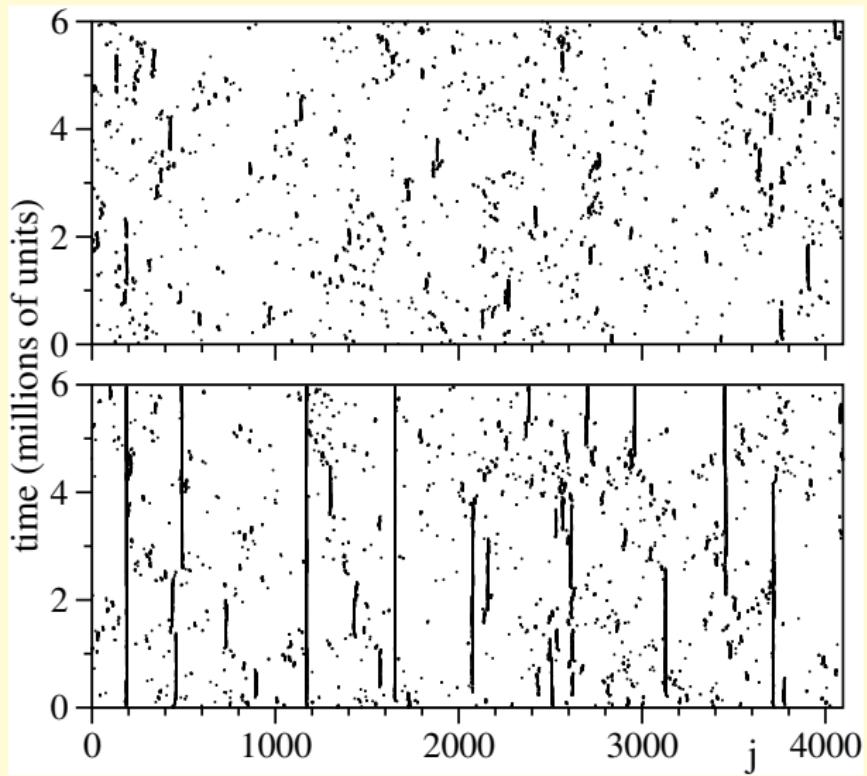
$$\psi_j = x_{2j} + ix_{2j+1}$$

(Franzosi 2011, Iubini et al. 2012)

DNLS equilibrium phase diagram (Rasmussen, 2001)

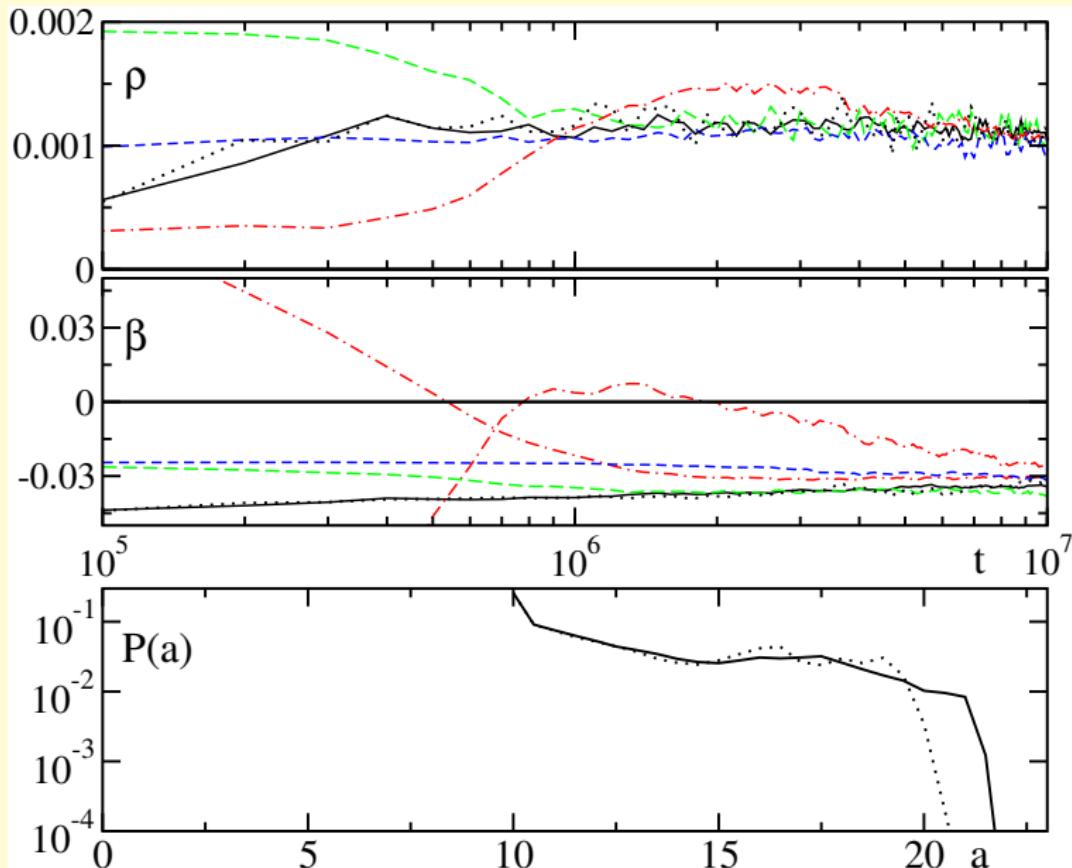


Negative temperatures

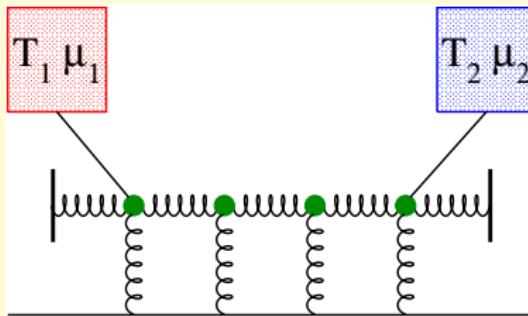


$$a = 1 \ ; \ h = 2.4 - 2.8 \ (\text{upper} - \text{lower})$$

Time evolution



Steady non-equilibrium states: a setup for their analysis



Fluxes

$$J_a(j) = 2(p_{j+1}q_j - p_jq_{j+1}) \quad J_h(j) = -(\dot{p}_j p_{j-1} + \dot{q}_j q_{j-1})$$

$$\psi_j = p_j + iq_j$$

Coupled transport: thermoelectric/thermomechanical effects

Seebeck effect: (discovered by Seebeck, correctly interpreted by Oersted)

electromotive force induced by a temperature gradient

Peltier effect: heat generated or removed at the junction of different metals

Figure of merit : $Z = \frac{\sigma S^2}{\kappa}$

σ electric conductivity

S Seebeck coefficient (thermopower)

κ thermal conductivity

A proper simulation of the interaction with the heat baths: Langevin dynamics

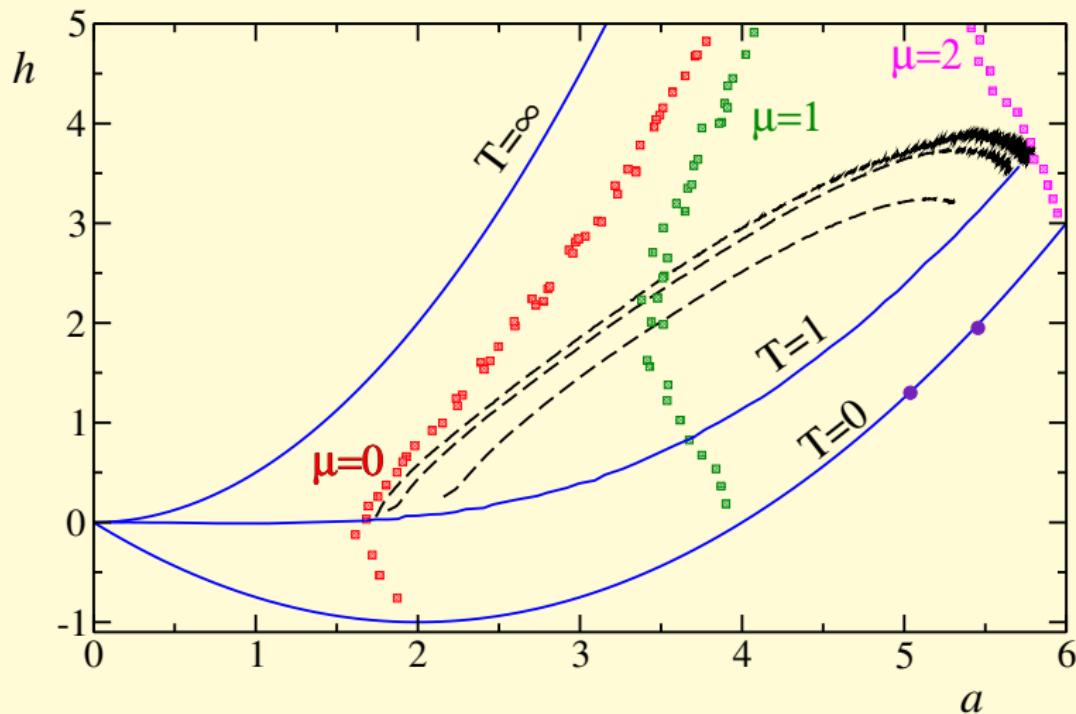
$$i\dot{z}_n = (1 + i\gamma) [-2|z_n|^2 z_n - z_{n+1} - z_{n-1}] + i\gamma\mu z_n + \sqrt{\gamma T} \xi_n(t)$$

$$\xi_n(t) = \xi'_n + i\xi''_n$$

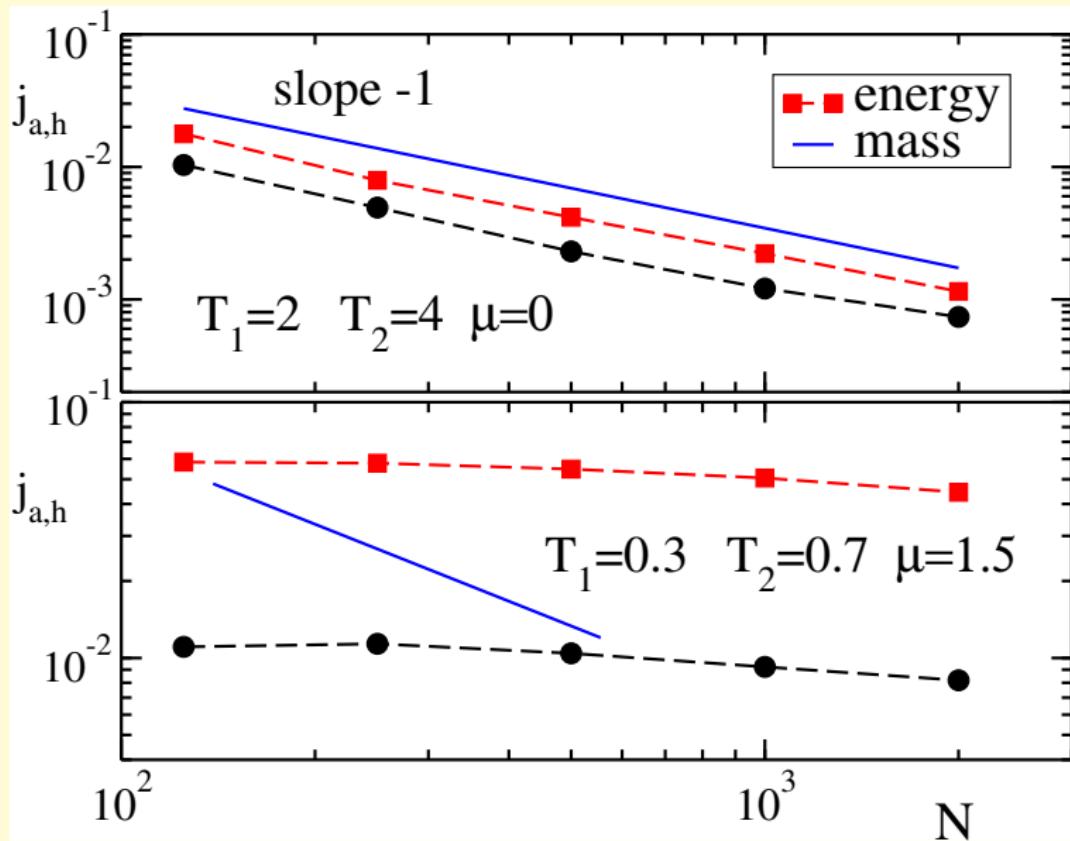
$$\begin{aligned}\dot{p}_n &= -\frac{\partial H}{\partial q_n} - \gamma \frac{\partial H_\mu}{\partial p_n} + \sqrt{2\gamma T} \xi'_n(t) \\ \dot{q}_n &= \frac{\partial H}{\partial p_n} - \gamma \frac{\partial H_\mu}{\partial q_n} + \sqrt{2\gamma T} \xi''_n(t)\end{aligned}$$

H_μ is the effective Hamiltonian $H_\mu = H - \mu A$

Nonequilibrium steady states



Scaling of the fluxes



Continuum limit: Onsager matrix

$$j_a = -L_{aa} \frac{d(\beta\mu)}{dy} + L_{ah} \frac{d\beta}{dy}$$

$$j_h = -L_{ha} \frac{d(\beta\mu)}{dy} + L_{hh} \frac{d\beta}{dy}$$

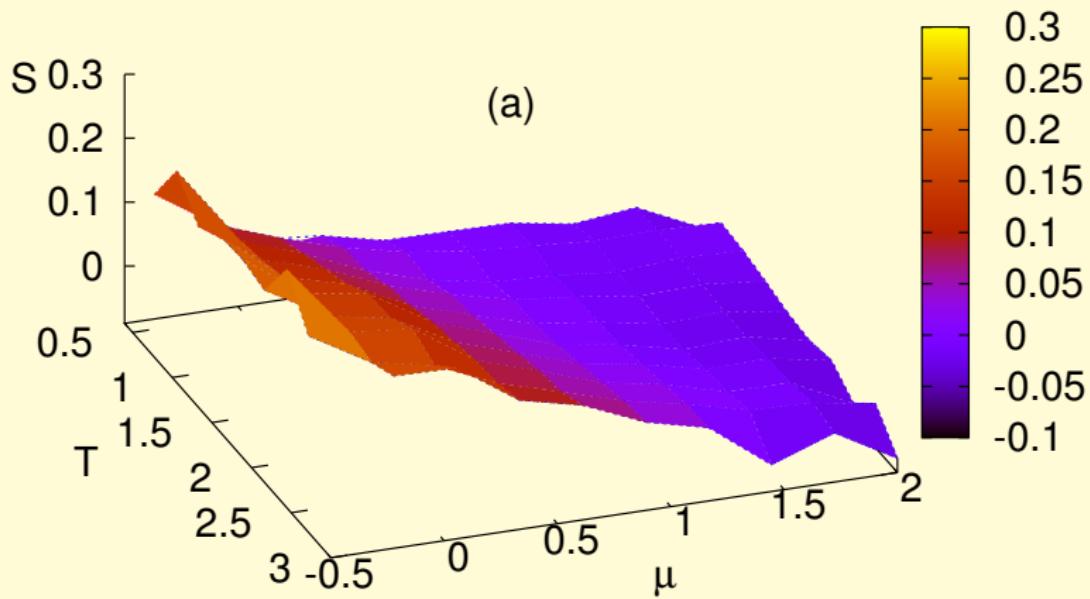
$$y = j/n$$

Particle and thermal conductivity: σ, κ

$$\sigma = \beta L_{aa}; \quad \kappa = \beta^2 \frac{L_{aa} L_{hh} - L_{ha}^2}{L_{aa}}$$

Seebeck coefficient $S = \beta \left(\frac{L_{ha}}{L_{aa}} - \mu \right)$

Seebeck coefficient



The path in μ -T (a, h) plane

$$\mathbf{J} = \mathbf{A}(\mu, T) \frac{d\mathbf{v}}{dy}$$

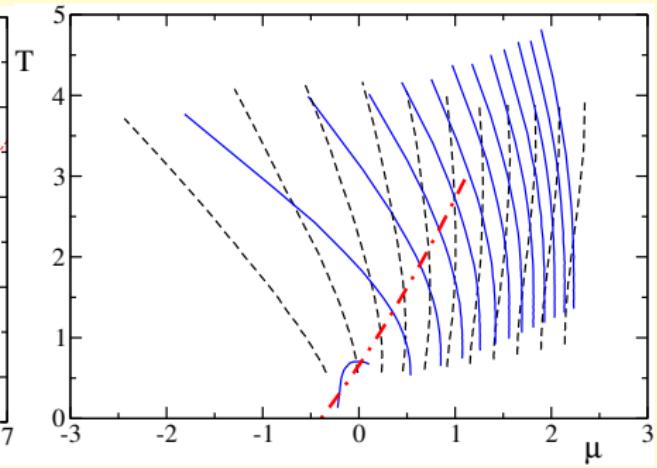
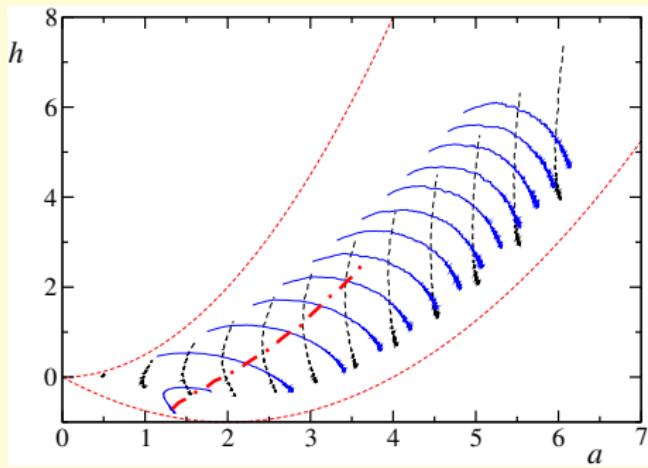
$$\mathbf{J} = (j_a, j_h) \quad \mathbf{v} = (\mu, T)$$

\mathbf{A} replaces the Onsager matrix

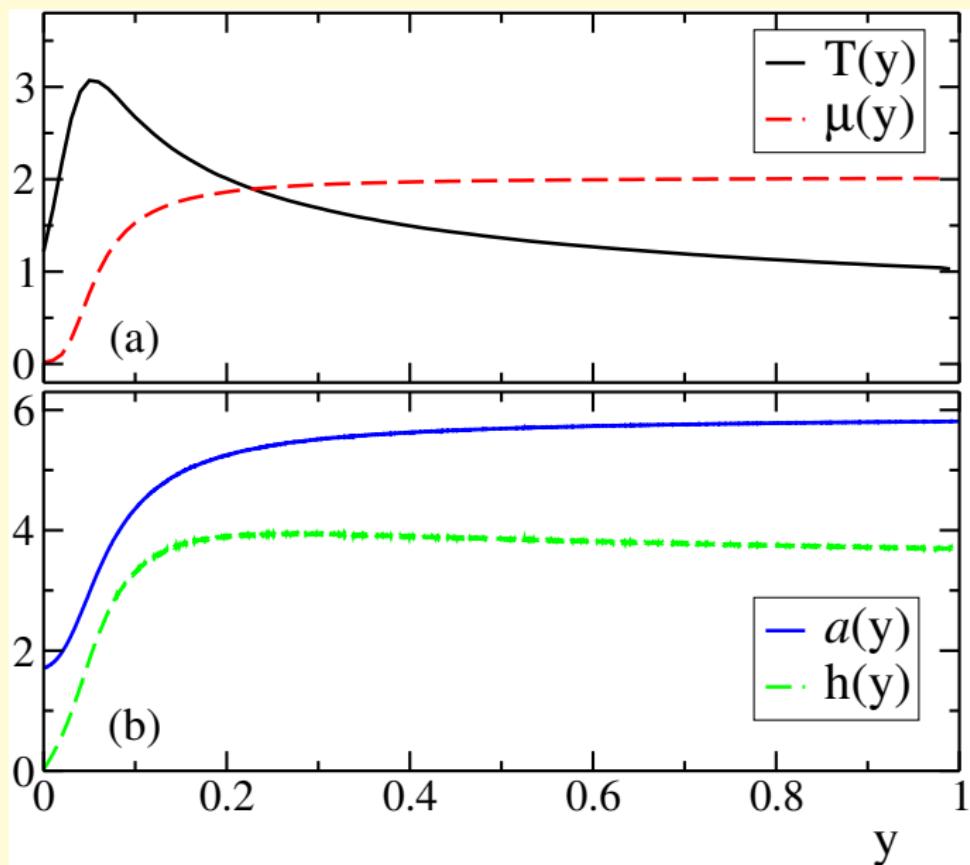
$$\frac{d\mu}{dy} = A_{11}^{-1} j_a + A_{12}^{-1} j_h \quad \frac{dT}{dy} = A_{21}^{-1} j_a + A_{22}^{-1} j_h$$

$$\frac{dT}{d\mu} = \frac{A_{21}^{-1} + A_{22}^{-1} j_h / j_a}{A_{11}^{-1} + A_{12}^{-1} j_h / j_a}$$

Fix the ratio j_h/j_a and integrate from any point μ, T



Non-monotonous temperature profiles



A simple limit: large mass densities

Decompose the variable z_n into λ_n and ϕ_n

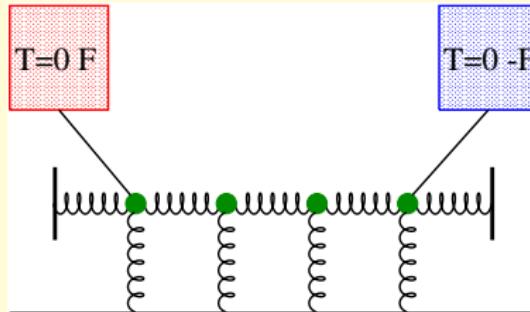
$$z_n = \sqrt{a}(1 + \lambda_n/4a) \exp[i(2(a-1)t + \phi_n + n\pi)]$$

$$\dot{\phi}_n = \lambda_n$$

$$\dot{\lambda}_n = 4a [\sin(\phi_{n+1} - \phi_n) - \sin(\phi_n - \phi_{n-1})] - \gamma'(\lambda_n - \delta\mu) + \sqrt{4\gamma T}\xi_n$$

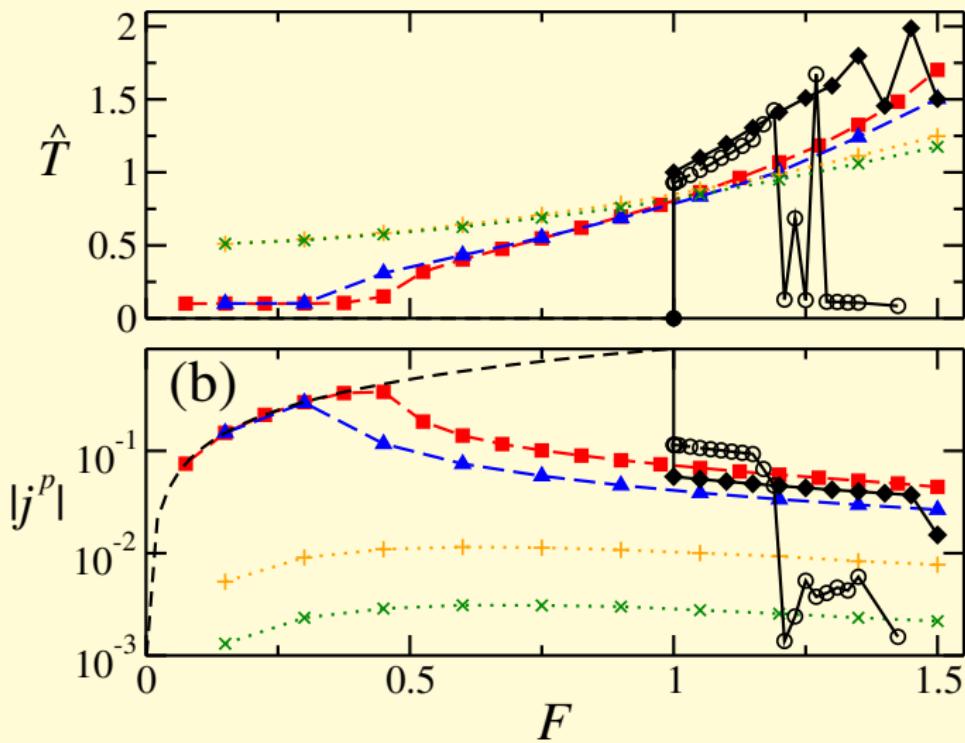
$$\mathcal{H}_{XY} = \sum_n \frac{\lambda_n^2}{2} - \sum_n 4a \cos(\phi_{n+1} - \phi_n)$$

Equivalent problem in a chain of oscillators

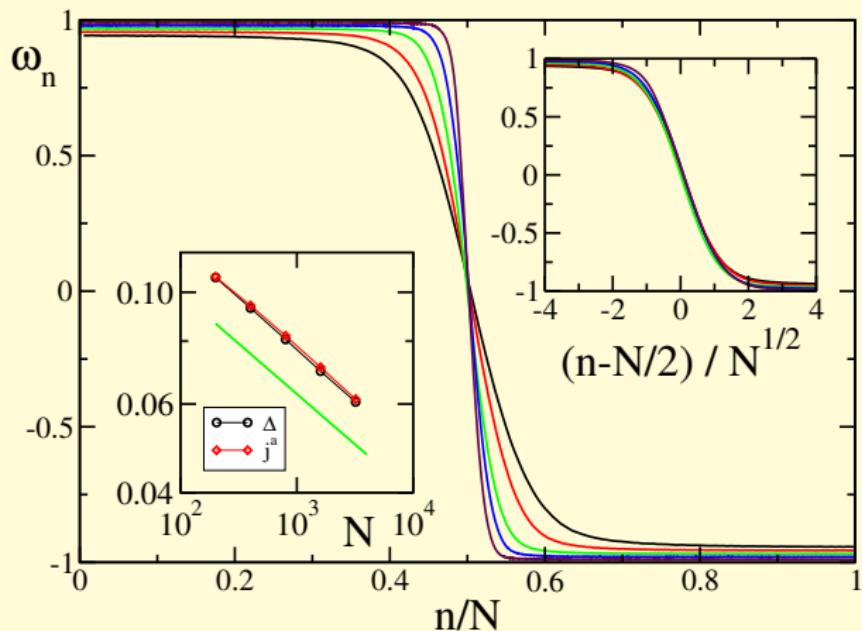


Zero temperature and a fixed torsion

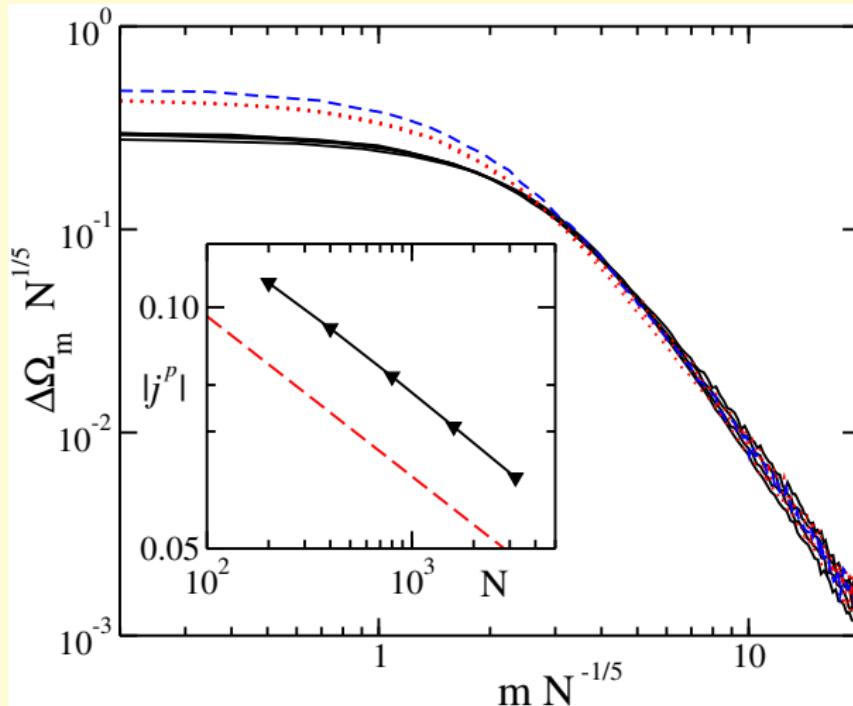
The XY model: a first nonequilibrium phase transition



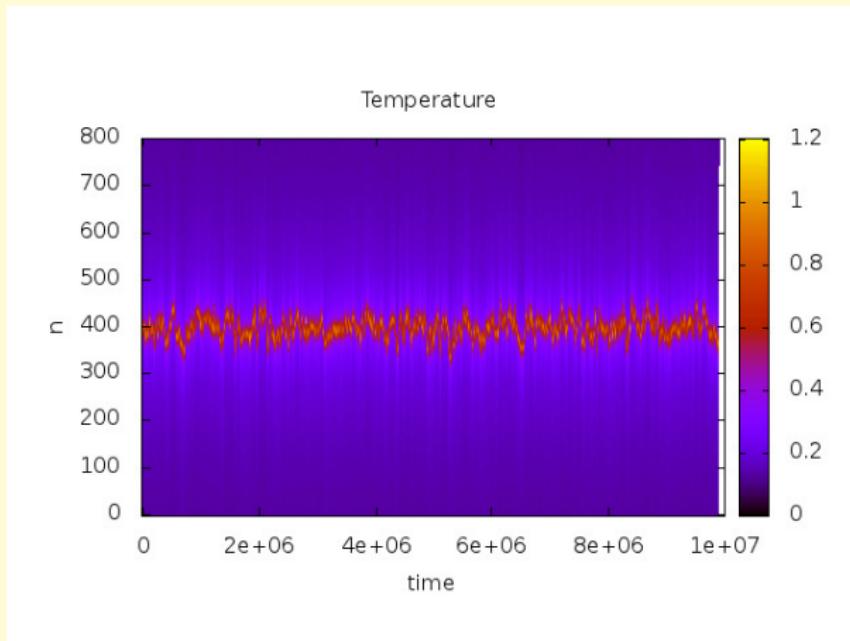
Frequency profile



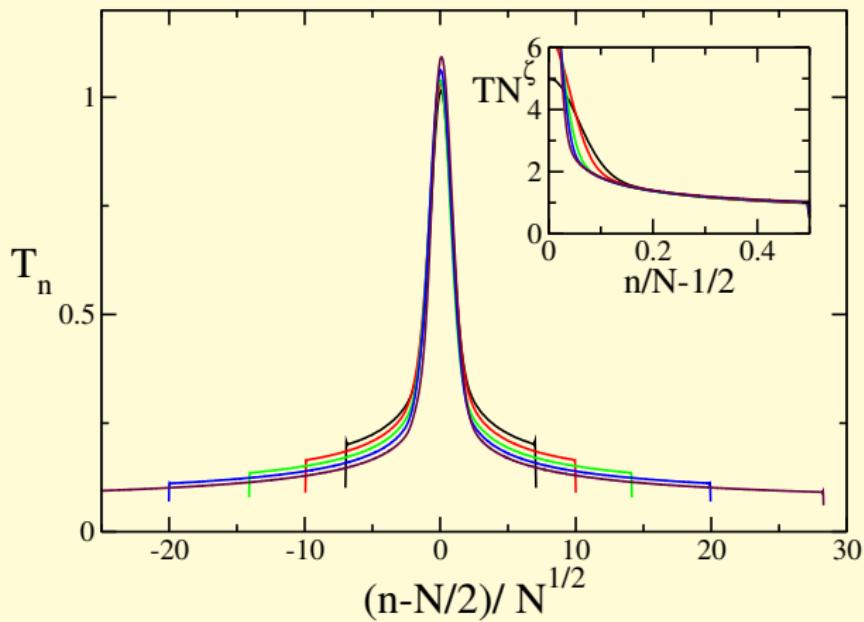
Frequency gradient



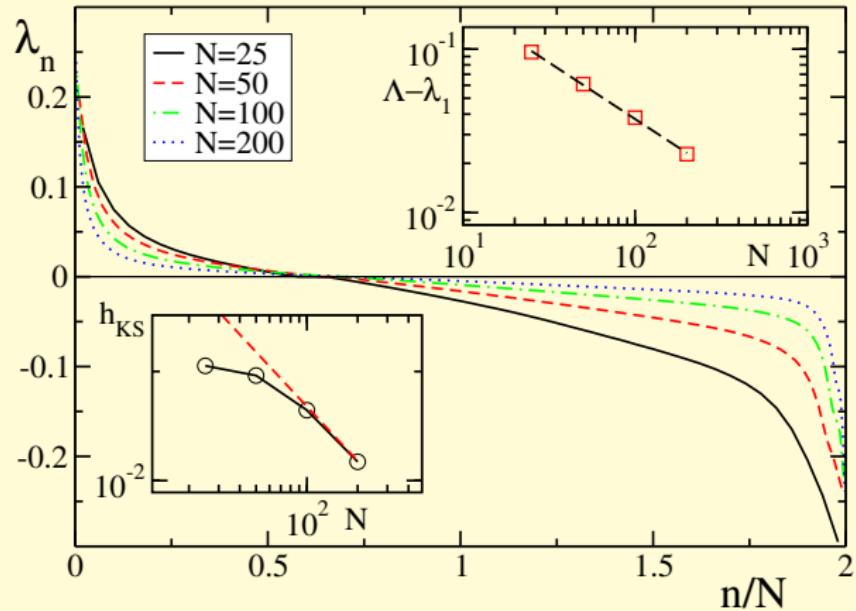
Space-time pattern



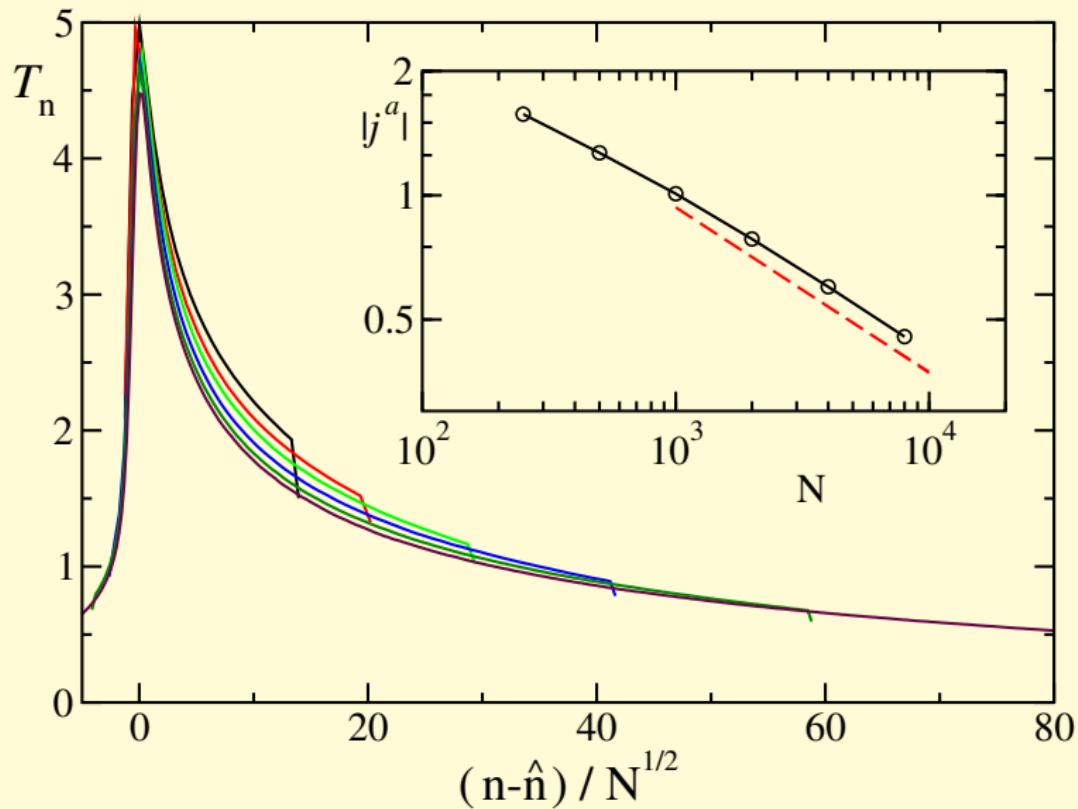
Temperature profile



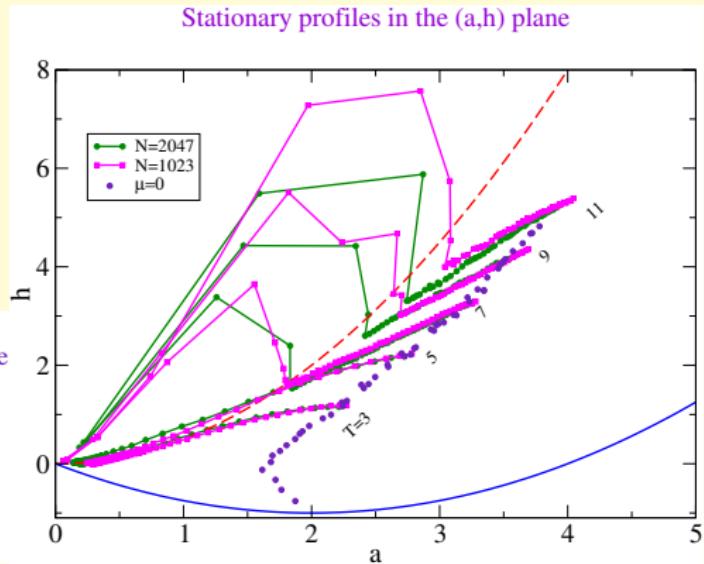
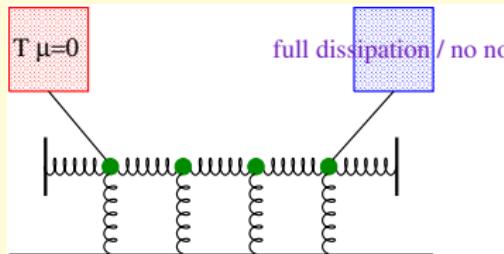
Lyapunov exponents



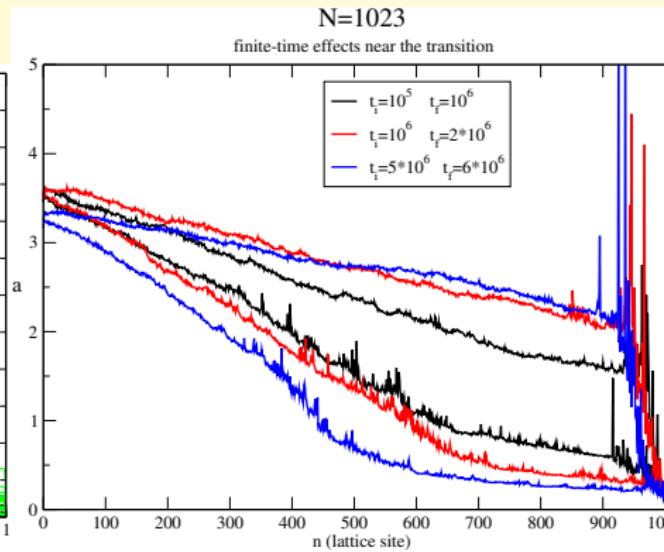
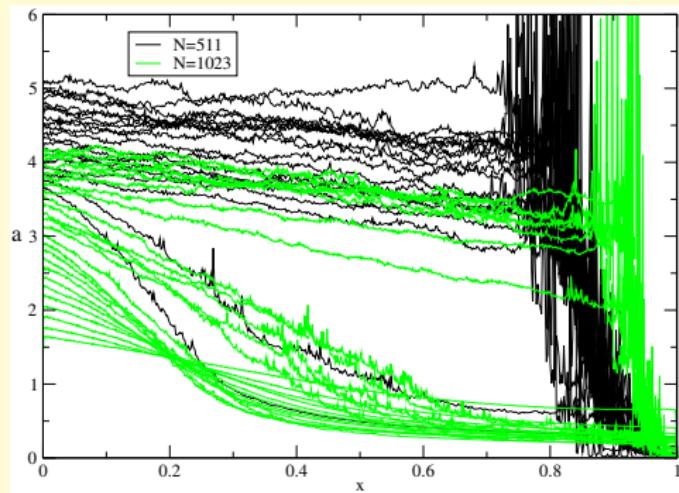
Back to DNLS dynamics



Negative temperatures and a second transition

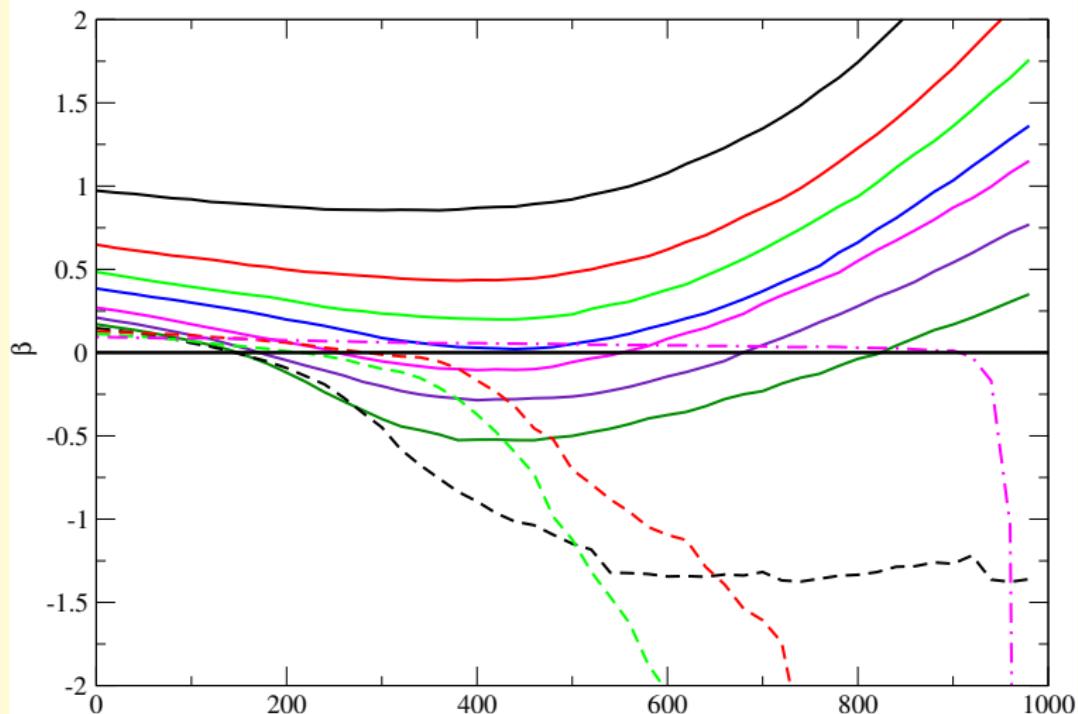


A transition in the transport properties



Inverse temperature profiles

N=1023



Hysteretic phenomena

Mass flux

